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BRINKS
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Appln. of: Neal Brady, et al.

Appln. No.: 10/001,237

Filed: October 30, 2001

For: NETWORK AND METHOD FOR TRADING
DERIVATIVES

Examiner: F. Poinvil

Art Unit: 3628

RECEIVED

NOV 15 2004

GROUP 3600

Attorney Docket No: 4672/363

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL

Sir:

Attached is/are:

- ☒ Response and Amendment.
☒ Return Receipt Postcard

Fee calculation:

- ☐ No additional fee is required.
☐ Small Entity.
☐ An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a).
☐ A petition or processing fee in an amount of \$_____ under 37 C.F.R. § 1.17(____).
☒ An additional filing fee has been calculated as shown below:

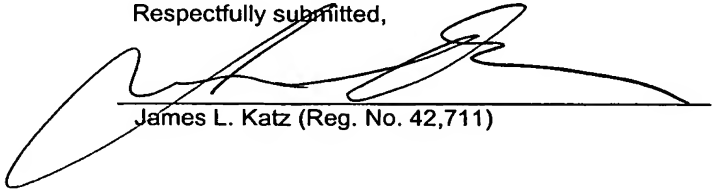
					Small Entity			Not a Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	or	Rate	Add'l Fee
Total	40	Minus	20	20	x \$9=			x \$18=	360
Indep.	3	Minus	3	0	x 44=			x \$88=	
First Presentation of Multiple Dep. Claim					+\$150=			+\$300=	
					Total	\$		Total	\$360

Fee payment:

- ☒ A check in the amount of \$360 is enclosed.
☐ Please charge Deposit Account No. 23-1925 in the amount of \$_____. A copy of this Transmittal is enclosed for this purpose.
☐ Payment by credit card in the amount of \$_____ (Form PTO-2038 is attached).
☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

11-5-04
Date


James L. Katz (Reg. No. 42,711)

"Express Mail" mailing label number EV 314 910 645 US

Date of Deposit: November 5, 2004

Our Case No. 4672/363

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Neal Brady et al.

Serial No. 10/001,237

Filing Date: October 30, 2001

For NETWORK AND METHOD FOR
TRADING DERIVATIVES

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) Examiner: F. Poinvil
)
) Group Art Unit No. 3628
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RESPONSE AND AMENDMENT

GROUP 3600

Mail Stop Non Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed September 17, 2004, please consider the following amendment and remarks.

~~11/09/2004 AWONDAFI 00000099 10001327~~

~~01 FC:1202~~

~~360.00 OP~~

~~Adjustment date: 11/10/2004 BABRAHA1~~

~~11/09/2004 AWONDAFI 00000099 10001327~~

~~01 FC:1202~~

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11/10/2004 BABRAHA1 00000159 10001237

01 FC:1202

360.00 OP